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04-19-06

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/626,415

Filing Date July 23, 2003

First Named Inventor WEISGRABER, KARL H.

Group Art Unit 1655

Examiner Name Leary, Louise N.

Total Number of Pages in This Submission

Attorney Docket Number UCAL-282

### ENCLOSURES (check all that apply)

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Form PTO-2038<br><input checked="" type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Response to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence<br>Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication<br>to Group<br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please<br>identify below):<br>1) Return Postcard |
|--|---|---|

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent  
(Reg. No.)

PAULA A. BORDEN, 42,344  
BOZICEVIC, FIELD & FRANCIS, LLP

Signature

Date

April 17, 2006

EXPRESS MAIL LABEL NO. EV 687 637 205 US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 25.00)

## Complete if Known

|                      |                     |
|----------------------|---------------------|
| Application Number   | 10/626,415          |
| Filing Date          | July 23, 2003       |
| First Named Inventor | WEISGRABER, KARL H. |
| Examiner Name        | Leary, Louise N.    |
| Art Unit             | 1655                |
| Attorney Docket No.  | UCAL-282            |

## METHOD OF PAYMENT (check all that apply)

- ☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_
- ☒ Deposit Account Deposit Account Number: **50-0815** Deposit Account Name: **Bozicevic, Field and Francis LLP**  
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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## FEE CALCULATION

## 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES |                       | SEARCH FEES |                       | EXAMINATION FEES |                       | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
|                  | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)    | Small Entity Fee (\$) | Fee (\$)         | Small Entity Fee (\$) |                |
| Utility          | 300         | 150                   | 500         | 250                   | 200              | 100                   |                |
| Design           | 200         | 100                   | 100         | 50                    | 130              | 65                    |                |
| Plant            | 200         | 100                   | 300         | 150                   | 160              | 80                    |                |
| Reissue          | 300         | 150                   | 500         | 250                   | 600              | 300                   |                |
| Provisional      | 200         | 100                   | 0           | 0                     | 0                | 0                     |                |

## 2. EXCESS CLAIM FEES

| Fee Description   | Fee (\$) | Small Entity Fee (\$) |
|---|----------|-----------------------|
| Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent            | 50       | 25                    |
| Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent | 200      | 100                   |
| Multiple dependent claims   | 360      | 180                   |

**Total Claims** 21 - 20 or HP = 1 x 25 = 25.00 **Multiple Dependent Claims**  
HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** 4 - 4 or HP = 4 x 200 = 800.00  
HP = highest number of independent claims paid for, if greater than 3

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** 150 - 100 = 50 / 50 = 1 (round up to a whole number) x 250 = 250.00 **Fee Paid (\$)**

## 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

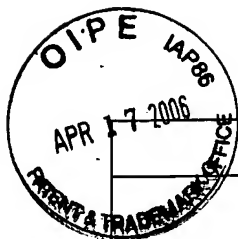
Other: \_\_\_\_\_

## SUBMITTED BY

|                   |                        |  |                                 |
|-------------------|------------------------|--|---------------------------------|
| Signature         |                        | Registration No.<br>(Attorney/Agent) <b>42,344</b> | Telephone <b>(650) 327-3400</b> |
| Name (Print/Type) | <b>Paula A. Borden</b> | Date <b>04/17/2006</b>                             |                                 |

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|  |                                     |   |
|--|-------------------------------------|---|
| <b>AMENDMENT UNDER<br/>37 C.F.R. §1.111</b><br><br>Address to:<br>Mail Stop: Amendment<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | Attorney Docket<br>Confirmation No. | UCAL-282<br>2272  |
|  | First Named Inventor                | K.H. Weisgraber   |
|  | Application Number                  | 10/626,415  |
|  | Filing Date                         | July 23, 2003   |
|  | Group Art Unit                      | 1655  |
|  | Examiner Name                       | L.N. Leary  |
|  | Title                               | <i>Apolipoprotein E stable folding<br/>intermediates and methods of use<br/>thereof</i> |

Sir:

This amendment is responsive to the Office Action dated January 25, 2006, for which a three-month period for response was given, making this response due on or before April 25, 2006.

Accordingly, this response is timely filed.

In view of the remarks put forth below, reconsideration and allowance are respectfully requested.

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